

Equity Checklist - "INDIVIDUAL - RESIDENT"

"One set of each document"

| | |
|--|--|
| 1. | - Copy of PAN Card |
| 2. | - Copy of AADHAAR Card |
| 3. | - Proof of Address (Any One of the following) a) Passport (Validity period to be checked) b) Voter ID Card c) Driving License (Validity period to be checked) d) AADHAAR Card . |
| 4. | - Bank Proof (Any One of the following) – Client should be first holder in Bank a) Cancelled Cheque (With client name and A/c no. pre-printed) b) Latest Bank Statement/Passbook with MICR & IFSC code printed (Not more than 3 months old) c) Bank Verification Letter (ORIGINAL) |
| 5. | - Rs. 1000 cheque in the name of " Emkay Global Financial Services Limited " - Account opening charges |
| 6. | - Documentary evidence of financial details (Applicable to clients trading in Derivative segment of stock exchange) – (Any One of the following) - a) Copy of ITR - Last 2 years b) Copy of Balance Sheet - Last 2 years c) Copy of Form 16 in case of salary income d) Salary Slip - Last 2 months e) CA certified Net Worth certificate f) Bank account statement for latest six months g) Copy of latest demat account holding statement with valuation h) Any other relevant documents substantiating ownership of assets |
| 8. | Latest Masked Downloaded Aadhaar copy and PDF |
| 9. | - Incase of other DP client master copy duly attested with stamp by DP official & self attested by BO require |
| Note: a) All documents should be self attested by client & IPV (In Person Verification) would be done by concern Branch Person/SEBI Registered Franchisee person /Relationship Manager/Branch Manager. | |